



MEETING	PUBLIC BOARD MEETING 26 February 2020
Agenda item Paper Number	08 CM/02/20/08
Agenda Title	Audit and Corporate Governance Committee (ACGC): Report to the Board
Sponsor	Paul Rew, Chair, Audit and Corporate Governance Committee
Author	Sarah Vallotton, Corporate Secretary

PURPOSE OF PAPER:

To provide the Board with a report of the meeting of the Audit and Corporate Governance Committee (ACGC) on 29 January 2020.

Introduction

1. This is an information report from the meeting of the Audit and Corporate Governance Committee (ACGC) which was held on 29 January 2020. A number of reports, briefings and topics were discussed. The most significant are summarised below.

Recommendation

2. The Board is asked to **NOTE** the Audit and Corporate Governance Committee report.

Summary

Internal Audit

3. The Committee noted progress of the 2019/20 internal audit programme, the findings from reports and management actions to address any weaknesses noted. Since the last meeting of the Committee, six audit reports have been issued. Of those issued, five were rated as Moderate. The other report was a baseline review that was not given an overall rating.

Risk and assurance

4. The Committee considered CQC's latest Strategic and High-Level Risk Register and the changes since the last meeting. It noted that some further adjustments may be needed to align with the Business Plan for 2020 which is to be considered by the Board. It was agreed that a review of the risk register would be undertaken before the next meeting of ACGC in order to provide greater clarity around some of the risks, with some to be split into more detailed component parts.

5. Transformation sub-committee

The Committee noted progress on the Transformation Programme in the last six months, particularly in rolling out new technology and tools that had increased efficiency and staff satisfaction. The Programme Management Office (PMO) had made a significant difference in the management and delivery of the Programme and regular reports to the Sub-committee had provided assurance around the work taking place. However, it was recognised that the programme was still in its early stages and large projects were still to be delivered.

National Audit Office (NAO) planning report on the 2019/20 financial statement audit

6. The Committee noted the planning report on the 2019/20 financial statement audit.

Structure and Timetable – Annual Report and Accounts Financial Year 2019/20

7. The Committee noted the proposed outline structure and timetable for the 2019/20 Annual Report and Accounts. We will have the opportunity to provide detailed comment in the coming months as the draft is developed.

Name: Paul Rew
Title: Chair, Audit and Corporate Governance Committee
Date: 14 February 2020