

CQC IAT 1617	Description of Information Request	Deadline	Date of Disclosure	Outcome	Exemptions
0130	Can you please provide the first draft and all subsequent changes to the most recent CQC report on Northumbria Healthcare NHS Foundation Trust. This report was published on 5th May 2016.	18/07/2016	18/07/2016	Part Disclosure - Exempt	S40 (2)/(3)
0138	Request for complaints data	05/07/2016	05/07/2016	Withheld	S12 (1)
0140	<p>The following requests refer to the three contracts awarded to Remploy to supply Experts by Experience to the Care Quality Commission in the North East/North West region, the South East /South West region and the London region</p> <p>1. Please provide me with the monthly “contractor performance report against KPIs” (reported monthly by the contractor, Remploy under the above named contracts, see Tender Schedule 3 “Deliverables and Outputs” section a). Please provide these reports for the months of March 2016, April 2016 and May 2016 in each of the three regions named above.</p> <p>2. In any month (March, April, May 2016) in which Remploy failed to meet its performance targets (as specified in the KPI schedule) please tell me what action was taken by the Care Quality Commission under the terms of the contract, section F7 “Monitoring of Contract Performance.”</p> <p>3. Please provide me with the “Report on the number of ExE recruited, to include employment arrangement e.g paid, volunteer” (reported monthly by the contractor, Remploy under Tender Schedule 3 “Deliverables and Outputs” section d). Please provide these reports for the months of March 2016, April 2016, May 2016 in each of the three regions named above.</p>	28/07/2016	28/07/2016	Withheld	S43 (2)
0142	I was told this week by James Hedges in the CQC press office: “The Care Quality Commission continues to have formal meetings with suppliers of the new Experts by Experience services which began in February 2016 on a monthly basis and we will continue to closely monitor their progress in helping us deliver our programme, which will significantly expand the number of Experts by Experience that we involve on inspections.” Please let me have any written reports that were submitted to these meetings, or considered at these meetings, or were prepared as a result of the meetings.	30/06/2016	28/07/2016	Withheld	S43 (2)

0154	a. How many Care Homes with a "Need improving" tab, actually are failing on the paperwork rather than the Care element. b. What percentage of the so called failing homes is only on the paperwork.	04/07/2016	01/07/2016	Not Held	
0158	The payroll and CRM contract have expired do you have the new information for this please or has this just been rolled over?	28/06/2016	11/07/2016	Full Disclosure	
0162	Please can you provide me with the following information for the administrative area covered by 1) North Yorkshire County Council, 2) Cumbria County Council and 3) Lancashire County Council a. The number of registered domiciliary care service providers b. The number of domiciliary care providers inspected under the current CQC inspection regime c. A list of the names of the domiciliary care providers inspected under the current CQC inspection regime together with their overall CQC rating d. The number of domiciliary care providers inspected under the previous CQC inspection regime e. A list of the names of the domiciliary care providers inspected under the previous CQC inspection regime together with their ratings against the standards grouped into the five key areas.	11/07/2016	07/07/2016	Part Disclosure - Part Held	
0163	I would greatly appreciate if possible a copy of your letter to RDE following the November inspection, and if possible RDE's reply.	07/07/2016	06/07/2016	Part Disclosure - Exempt	S40 (2)/(3)
0164	1) How many compromise agreements has HWE entered into with staff or former staff? Please include all COT3 agreements in this figure. 2) How many of these compromise agreements require staff members not to disclose the existence of the compromise agreement itself? 3) How many of these compromise agreements contain non-disparagement clauses that require staff members not to criticise HWE or its employees? 4) How many of these compromise agreements were entered into by HWE with staff who had previously made public interest disclosures, (whether or not these were raised by formally invoking HWE's whistleblowing policy)? 5) If staff who have entered into a compromise agreement with HWE were to voice concerns about reprisal by HWE for whistleblowing, would HWE consider this to be an actionable breach of non-disparagement clauses, or would it consider the raising of such concerns to be qualifying disclosures under PIDA?	11/07/2016	04/07/2016	Full Disclosure	

0168	<p>Tascor Services Secret Commercial Policies</p> <p>Tascor Medical Services' also states on record (which we have) that you also hold copies of ALL of their policies relating to the detention & treatment of people, inclusive of the policy which allows the refusal of medical treatment for 6 hours or more without assessment. Please could you make available this policy, along with any other policies in use by Tascor Services Ltd whilst operating in service of Cleveland Police, especially those branded as 'Commercially Confidential'. Also could you provide any information, documentation or other that outlines any concerns relating to Tascor's track record of torturing and mistreating detainees.</p>	11/07/2016	05/07/2016	Not Held	
0173	<p>L&M Healthcare (Darnton) Ltd/ THFT</p> <p>1. Please provide a copy of the amended statement of purpose submitted by L&M Healthcare (Darnton) Ltd.</p> <p>2. Please advise if the amended statement of purpose was submitted within the statutory 28 days of L&M Healthcare effecting the change. (Date TCU opened was 16/03/15)</p> <p>3. Please provide a copy of the 'partnership' registration form completed by L&M Healthcare and Tameside Hospital NHS Foundation Trust with regard to the Trusts Transitional Care Unit which is located on the top floor but is not part of the Darnton House Nursing Home.</p>	13/07/2016	12/07/2016	Part Disclosure - Exempt	S40 (2)/(3)

0174	<p>How many NHS Trusts have CQC considered under Regulation 5 (the CQC's fit and proper person test) by panels within the north region?</p> <p>How many NHS Trusts have CQC considered under Regulation 5 (the CQC's fit and proper person test) by panels within the south region?</p> <p>How many NHS Trusts have CQC considered under Regulation 5 (the CQC's fit and proper person test) panels within the central region?</p> <p>How many NHS Trusts have CQC considered under Regulation 5 (the CQC's fit and proper person test) panels within the London region?</p> <p>Of the 33 NHS Trusts the CQC central panel has considered under Regulation 5 at 13 April 2016, what were the sources for complaint in each case excluding complaints from members of the public or the trust itself?</p> <p>Of the 33 NHS Trusts the CQC central panel has considered under Regulation 5 at 13 April 2016, on what grounds were the complaints made?</p> <p>Of the 33 NHS Trusts the CQC central panel has considered under Regulation 5 at 13 April 2016, what were the reasons for the complaints being rejected?</p>	12/07/2016	18/07/2016	Full Disclosure	
0175	<p>Could you please supply under the freedom of information the following: A/ A copy of the registration obtained by Tameside Hospital trust to provide regulated services for it's TCU(Transitional Care Unit) located at an alternative site which is not part of the Trusts primary registered address. The TCU is located at Darnton House, Darnton Road, Ashton-Under-lyne.</p>	13/07/2016	12/07/2016	Part Disclosure - Exempt	S40 (2)/(3)
0177	<p>Re: PCP Clapham Ltd</p> <p>For the period from company formation in February 2012 the above company has not traded, but has provided services to the CQC, and has been inspected a number of times. I have been informed by the inspector of the above company, that although the company is dormant, they are permitted to sub contract some or all of their services to a third party. On this basis, I would like to know who the sub contracting third parties are.</p>	14/07/2016	05/07/2016	Not Held	
0186	<p>Shalom Health Recruitment Ltd CQC Provider ID is 1-1371816156 recently had an inspection on the 17th and 30th of March 2016.</p> <p>We would like a copy of the complaint made about the service and the date they contacted the call centre in Newcastle for advice.</p>	19/07/2016	05/07/2016	Withheld	S44 (1)

0190	I would like to request all the evidence considered that lead to the statement: "They reported an apparent 25% amendment rate, with missed pathologies."	20/07/2016	18/07/2016	Part Disclosure - Exempt	S40 (2)/(3)
0191	I was looking for the numbers of readmissions less than 72 hours between May 2015 and May 2016 at Princess Alexander's Hospital in Harlow. This would be for all causes, but I guess a number would be readmissions in the elderly.	18/07/2016	01/07/2016	Not Held	
0192	please can you provide a copy of the Guidance to CQC staff as to how to carry out rating reviews? I have previously been sent a copy of the inspectors' handbook, appendix E of which dealt with this, but it stated that the it was likely that there would be new guidance once a new Ratings Review Team and Independent Reviewer were in place. Please provide the guidance that team and independent reviewer use when determining applications for ratings reviews.	20/07/2016	05/07/2016	Not Held	
0200	1. What criteria do your inspectors use to determine whether nursing care homes are ensuring residents' safety by mitigating the risk of developing venous thromboembolism* (VTE)? 2. How many nursing care homes in England were rated "Inadequate" or "Requires Improvement" in 2014/15? Of the above number, how many were run by the a.) public sector, b.) private sector, c.) voluntary sector? 3. Of the nursing care homes in England rated "inadequate" or "requires Improvement" in 2014/15, for how many was VTE prevention and management a reason for the rating received? Of the above number, how many were run by the a.) public sector, b.) private sector, c.) voluntary sector?	25/07/2016	13/07/2016	Part Disclosure - Part Held	

0203	<p>ERP Systems - What Applications are you running for: Finance, HR, Payroll, Project, CRM, Manufacturing, Sourcing, Invoice Scanning Tool? Are you using Config Snapshot? What BI Tool are you using? What versions of the above Applications are you running? When was your last Application upgrade? Are you planning another upgrade in the next 12-18 months? Do you have an Oracle support partner for applications? If so who? What kind of support is included in the contract (functional/technical/etc.?) What is the value of the application support contract? When does it expire? Are you running any Oracle Databases? If so, what versions are you currently running? What applications are being run on these Databases? Are you planning another Database upgrade in the next 12-18 months? Do you have an Oracle support partner for Databases? If so who? What is the value of the database support contract? When does it expire? If not, how many in-house DBAs do you have? Where are your Oracle databases held? On site/off site? Who is your hosting partner? When does the contract expire? What is the value of the contract? Where do you advertise any Oracle procurement opportunities? Who is responsible for looking after the contract for the Oracle estate? Who is responsible for looking after the licenses for the Oracle estate? How much do you pay annually for Oracle Support & Maintenance? When does this contract renew? Do you work with off-shore partners?</p>	27/07/2016	28/07/2016	Full Disclosure	
0205	<p>I wonder would it be possible to obtain a copy of the 'Information for CQC staff' (March 2015) on the FPPR/DoC intranet page. I understand that this is where inspection activities are outlined in more detail ?</p>	28/07/2016	01/07/2016	Full Disclosure	
0206	<p>I wish to know whether CQC keep a register of all secure hospitals in the UK and list the level of security and if so where can I access this list?</p>	27/07/2016	20/07/2016	Not Held	

0207	<p>Adeline House Care Home Doncaster, DN8 5AQ Four Seasons Health Care</p> <p>How many notifications, if any, regarding the following did you receive in connection with this Care Home in 2013, 2014, 2015, 2016 (current year from January until end of June)</p> <p>1. A serious injury to a person who uses the service 2. Any abuse or allegation of abuse in relation to a person who uses/used the service 3. Incidents reported to or investigated by the police 4. Death of any person who uses the care service 5. Notifications/information received from local authorities conducting safeguarding investigations 6. Notifications/information that CQC has received as a safeguarding concern and subsequently reported to the relevant local authority as a safeguarding alert 7. Whistleblowing enquiries</p> <p>This home was inspected without prior notice in February 2016. Please would you let me know on what day the inspector was able to speak to the Regional Manager.</p>	28/07/2016	08/07/2016	Full Disclosure	
0208	<p>How many notifications, if any regarding Hillview Nursing Home. Eston. Middlesbrough. Cleveland from 2013-2016 current year from January until end of June</p> <p>1) A serious injury to a person who uses this service 2) Any abuse or allegations of abuse in relation to a person who uses/used this service 3) Death of a person who used the care service 4) Notifications / information received from local authorities conducting safeguarding investigations 5) Notifications / information that CQC has received as a safeguarding concern and subsequently reported to the relevant local authority as a safeguarding alert 6) whistle blowing enquiries</p> <p>Finally this home was inspected in August 2014 without prior notice and several times since can you let me know on what day you were able to speak to the regional manager in August 2014</p>	28/07/2016	11/07/2016	Full Disclosure	
0209	<p>Please could you provide me a list of all NHS Trusts that provide private mental health services or have a financial stake in a private mental health service provider.</p>	28/07/2016	01/07/2016	Not Held	

0210	Please provide the number of complaints the Commission has received about the Camphill Village Trust for each of the years from and including 2010 to date and where these rank in relation to the number complaints received for each of these years about other care organisations. I would indeed be grateful for the overall numbers of enquiries relating to 'information of concern', 'whistleblowing' and 'safeguarding' for these years and note that you may not be able to provide these details prior to October 2010.	28/07/2016	12/07/2016	Full Disclosure	
0211	Would you please provide figures for the total number of individual contacts you have received (from members of public, professionals, providers, whistleblowers etc) about Four Seasons Health Care Group of companies (to include brands such as Four Seasons, Huntercombe, Brighterkind, Tamaris, etc.), Care Homes for 2013,2014, 2015, and for 2016 January to June. Please provide these numbers for each individual named home (name+postcode) under the headings of : 1. Contact as an information of concern enquiry 2. Contact as a safeguarding enquiry 3. Contact as a whistleblowing enquiry. If you are unable to include all companies in the Four Seasons Group, please kindly provide the figures for Four Seasons Health Care only.	29/07/2016	12/07/2016	Full Disclosure	
0212	Would you please provide figures for the total number of individual contacts you have received (from members of public, professionals, providers, whistleblowers etc) within Central Bedfordshire Council Adult Care/Nursing Homes for 2013,2014, 2015, and for 2016 January to June. Please provide these numbers for each individual named home (name+postcode) under the headings of : 1. Contact as an information of concern enquiry 2. Contact as a safeguarding enquiry 3. Contact as a whistleblowing enquiry. I believe there are approximately 57 care homes in Central Bedfordshire though I am not certain if they are all adult care/nursing homes.	29/07/2016	12/07/2016	Full Disclosure	
0213	I'm looking to get laser eye surgery done at London Vision Clinic Associates LLLP - Harley Street and I am really worried. I would like any information/numbers you can give me on concerns raised about care at this organisation.	29/07/2016	13/07/2016	Full Disclosure	
0214	Request for information on ICT expenditure	29/07/2016	11/07/2016	Part Disclosure - Part Held	

0215	<p>How many requests for Homeworking (change of status from Office Worker to Homeworker) have been submitted in 2015 for the whole of CQC? How many of these requests have been approved?</p> <p>How many requests for Homeworking (change of status from Office Worker to Homeworker) have been submitted in 2016 for the whole of CQC (January 1st – July 1st)? How many of these requests have been approved? How many outstanding? How many denied?</p> <p>How many requests for Homeworking (change of status from Office Worker to Homeworker) have been submitted in 2016 for the S&I directorate (January 1st – July 1st)? How many of these requests have been approved? How many outstanding? How many denied?</p> <p>How many requests for homeworking have been approved for the whole of CQC and for the whole of S&I FOR 2016.</p>	29/07/2016	18/07/2016	Full Disclosure	
0219	<p>Please send me:</p> <ul style="list-style-type: none"> A comprehensive list of all the Out of Hours providers nationally Please provide contact names and emails for all providers 	01/08/2016	18/07/2016	Part Disclosure - Exempt	S21 (1)
0220	Please can you provide a copy of the current version of the inspectors' handbook?	02/08/2016	05/07/2016	Full Disclosure	
0221	I have used your 'Equality Objectives for 2015-2017' as published in March 2015 which state that all members of staff will receive training to eradicate unintentional bias by March 2016. I am asking for information over whether this has been met. If you could provide this information or direct me to where it can be found I would be very grateful.	02/08/2016	18/07/2016	Full Disclosure	
0223	I would like to request the following information which I believe you hold for those companies offering Home Care Services throughout England. If possible information to be made available in Excel or similarly recognised format. 1. Senior Manager or Other Contact Name: 2. Email address for contact above: 3. Company Name: 4. Address: 5. Telephone Number:	03/08/2016	07/07/2016	Part Disclosure - Exempt	S41 (1)

0224	<p>Please could you advise how many cases CQC examined respectively during its inspection of October 2014, and during its most recent inspection in January (assuming this is the inspection during which a sample of specific cases was examined). If not, please advise of when the specific sample of cases was reviewed and the number of cases reviewed on that occasion. Please also advise, in relation to Mr Behan's statement last September that CQC would analyse intelligence received from coroners, did CQC as part of its January 2016 inspection undertake an analysis of any the inquests cited by the Mazars report, over the period 2011-2015? If not, has CQC undertaken any analysis of inquest data relating to Southern Health NHS Foundation Trust at any other point? If CQC has undertaken any analyses of inquest data relating to Southern Health NHS Foundation Trust, please disclose these analyses.</p>	01/08/2016	26/07/2016	Full Disclosure	
0227	<p>Please can you provide me with the following information in regards to care homes in Waverley.</p> <ol style="list-style-type: none"> 1. How many care homes and nursing homes are there in Waverley? 2. Who owns each of the care homes (company and/or individual)? 3. Can you tell me how many care homes there are in each of the specific towns and villages in Waverley please? 4. How are each of the homes currently rated overall by CQC? For example, inadequate, good, requires improvement etc. 5. How is the safety rated in each of the homes? 6. How is the caring-ness of the service rated in each of the homes? 7. How have each of the homes been rated in how well-led they are? 8. Can I have the same information (from questions 4-8) in respect of each of the homes' previous CQC report? 	09/08/2016	13/07/2016	Full Disclosure	S21 (1)
0229	<p>Could you please tell me how much money the CQC spent on the recruitment of the National Guardian for the Freedom to Speak Up? I would like to know how much was spent on the recruitment of Dame Eileen Sills as the initial candidate for the role, as well as the amount spent on Henrietta Hughes' recruitment (please give me a breakdown of the costs for each recruitment process). Please include all costs associated with the process, including but not limited to: fees for recruitment companies, advertising costs for the role, any signing on bonuses etc.</p>	09/08/2016	19/07/2016	Full Disclosure	
0231	<p>Please could you let me have on the Perry Clayman Project, group of companies all data I am allowed to received related to this group of companies.</p>	10/08/2016	18/07/2016	Withheld	S12 (1)
0232	<p>Request for list of pharmaceutical companies UK wide</p>	10/08/2016	13/07/2016	Not Held	

0233	I would like to make a request with regard to the recruitment of inspection managers to the London PMS team at the end of 2015. I attended an assessment centre on 22 October 2015. Subsequently I was informed I was unsuccessful. In order for me to fully understand where I apparently fell short, in comparison to those who were successful – and therefore learn what improvements I may need to make to be able to successfully apply in the future – I would like to compare my responses and scores with the (obviously anonymised) responses and scores of other applicants.	09/08/2016	19/07/2016	Part Disclosure - Exempt	S40 (2)/(3)
0234	I would also ask you to treat my request for information on the exact number of STHFT members of staff who have had to go outside of the organisation to raise concerns (i.e. whistleblowers) as a freedom of information request. In relation to whistleblowing at STHFT, please advise me for each of the last 5 years, how many whistleblowing disclosures has the CQC received from staff or former staff from Sheffield Teaching Hospitals NHS Foundation Trust? Please give a brief indication of the nature of each concern that has been raised with CQC?	27/07/2016	19/07/2016	Full Disclosure	
0240	<ol style="list-style-type: none"> 1) Please provide the number of inspections carried out by the CQC in the years 2011/12, 2012/13, 2013/14, 2014/15 and under the new style of inspections in 2015/16. Please break these down by the type of institution investigated, eg: Dentist practice, GP surgery, hospital trust. 2) For each of those financial years please provide the number of institutions/facilities/people rated in each performance category eg: Inadequate, requires improvement, good, outstanding. Again, please break these down by the type of institution investigated. 3) What is the make-up of an investigation team – specified by profession and number – following the April 2015 changes. 4) What was the previous make-up of an investigation team – specified by profession and number of people of that profession – prior to the April 2015 changes. 5) What length of time is spent investigating – both in amount of days on site and hours worked administratively – each case following the April 2015 changes. 6) What length of time is spent investigating each case – both in amount of days on site and hours worked administratively – prior to the April 2015 changes. 	27/07/2016	20/07/2016	Part Disclosure - Part Held	S21 (1)

0242	With reference to my telephone conversation of today, can you please confirm the following Good Hope Hospital Auditing morality rates on elderly care wards 10,11 and 24 on a monthly basis, is that standard procedure or because it's higher than normal. HSMR report are these a viable and how far back do they go. Is there any particular consultant on elderly care have had complaints made against them or have higher than normal morality rates.	16/08/2016	20/07/2016	Not Held	
0244	I'm wondering if you could please send me the information you have on the numbers of transition patients (patients moving from paediatrics to adult health care) in your hospital for the past five years.	17/08/2016	20/07/2016	Not Held	
0246	When did NHS doctors begin to release patient records directly to the Police ? Is the release of NHS records to the Police recorded at the Trust which holds the records. Are NHS service users informed when NHS staff are ' working outside their NHS employment' Are secretarial and other staff involved in releasing NHS records directly to the Police also ' working outside their NHS employment' ?	17/08/2016	21/07/2016	Not Held	
0251	Can you please supply the following information. Notification to CQC in respect of a request for police presence at Brookfield Care Home involving an incident with a resident. The incident happened on the 7/11/15 so the notification should have been sent around this time frame.	19/08/2016	22/07/2016	Neither Confirm or Deny	S40 (2)/(3)
0254	Please provide copies of North Essex Partnership University NHS Foundation Trust' application for a change to their registered condition with regard to their proposed move of the Peter Bruff Acute Ward currently located in Clacton & District Hospital to the Kingswood Centre, Turner Road, Colchester and the "Notice Of Decision" issued or any outstanding "Notice Of Proposal" including any alternative approach and details of any appeal in progress.	19/08/2016	25/07/2016	Not Held	
0263	With regards to CQC findings, have you ever reported any serious failings found be yourselves to the revevant authorises such as the police. This is with regards to higher than normal morality rates or whistle blowers who have highlighted criminal negligence.	24/08/2016	28/07/2016	Part Disclosure - Part Held	
0266	RE Douglas Bank nursing home, lees lane, Wigan WN8 0SZ. With reference to your recent inspection report published 14 July 2016, we duly request a copy of the action plan with updated achievements relating to all care standards.	24/08/2016	29/07/2016	Not Held	

0267	We would like to request the following information: • The name and address of all maternity units in England. • The name of the head of midwifery, acting head, or other lead officer for each maternity unit in England. • The associated postal address, email address, and telephone number of the head of midwifery/acting head/lead officer for each maternity unit in England.	25/08/2016	28/07/2016	Part Disclosure - Part Held	
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