

Enforcement decision tree

Our enforcement decision tree describes the process that guides how we make decisions about selecting and using appropriate enforcement powers.

Updates to this guidance in 2023

Since we published this guidance in 2017, we have:

- Improved the language to be more accessible, using clear, plain English.
- Used dual terminology where required, to ensure this guidance works alongside both assessments using key lines of enquiry (KLOEs) and the new single assessment framework. We will remove any references to KLOEs once we are using our new regulatory approach and single assessment framework in all regions.
- Replaced references to 'inspectors' with 'colleagues', to align with our new operational functions.
- Replaced the reference to 'sector' enforcement priorities with 'enforcement priorities' more generally.