

## Enforcement policy

This policy sets out how we will use our enforcement powers under the Health and Social Care Act 2008, as amended by the Care Act 2014.

## Updates to this policy in 2023

Since we published this policy in 2015, we have:

- Improved the language to be more accessible, using clear, plain English.
- Used dual terminology where required, to ensure this policy works
  alongside both assessments using key lines of enquiry (KLOEs) and the new
  single assessment framework. We will remove any references to KLOEs
  once we are using our new regulatory approach and single assessment
  framework in all regions.
- Removed the diagram 'CQC's Operating Model' as it is now out of date.
- Removed the table 'Relationship between the ratings and regulations' as it is out of date.
- Removed the principle 'integrating enforcement into our regulatory model',
  as it will soon be out of date. We have published information about <u>our new</u>
  <u>approach to assessments</u> on our website.

- Removed the summary of the decision tree as we will provide a <u>link to our</u> decision tree.
- Replaced the reference to 'sector' enforcement priorities with 'enforcement priorities' more generally.
- Updated the section on use of conditions to be more concise.
- Removed references to special measures where they concern NHS trusts as
   CQC and NHS England no longer use these.

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